

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

SWAINE et al

Serial No. 09/973,189

Filed: October 10, 2001

For: TRACING MULTIPLE DATA ACCESS INSTRUCTIONS



Attention: Application Branch

Atty. Dkt. 550-275

Date: January 7, 2002

*Sector*  
#3

Assistant Commissioner for Patents  
Washington, D.C. 20231

The attached completes filing of the above-identified patent application:

- ☐ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR  
☒ Signed Declaration plus attached copy of originally filed specification/drawings.  
☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.  
☒ Record the attached assignment and return to the undersigned.  
☐ Attached is a Power of Attorney.  
☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:
- | Application Number | Country | Day/Month/Year Filed |
|--------------------|---------|----------------------|
|--------------------|---------|----------------------|

respectively.

- ☐ Certified copy(ies) of foreign application(s) is/are attached.  
☒ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.  
☒ Applicant claims "small entity" status. ☐ "Small entity" statement attached.  
☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.  
☒ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid  
**Sequence Submission; ☒ Other: 13 Sheets Substitute Drawings**

**Fees due are calculated below:**

Basic filing fee				\$	740.00
Total Effective claims	10	- 20 =	0	x \$	18.00
Independent claims	2	- 3 =	0	x \$	84.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)					\$ 0.00
<b>FILING FEE</b>					\$ 740.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)				\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
English translation of specification and claims (\$130.00)				\$	0.00
<b>FIRST SUBTOTAL</b>					\$ 870.00
If "small entity," enter half (½) of subtotal and subtract				-\$	0.00
<b>SECOND SUBTOTAL</b>					\$ 870.00
Assignment Recording Fee (\$40.00)				\$	40.00
<b>TOTAL FEE DUE</b>					\$ 910.00
Check enclosed (Pre-Bill)*					\$ 910.00
Check enclosed (non Pre-Bill)*					\$
<b>TOTAL FEE ENCLOSED</b>					\$ 910.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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**NIXON & VANDERHYTE P.C.**

By Atty: Stanley C. Spooner, Reg. No. 27,393

Signature: \_\_\_\_\_



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/973,189	10/10/2001	Andrew Brookfiel Swaine	550-275

NIXON & VANDERHYE P.C.  
8th Floor  
1100 North Glebe Road  
Arlington, VA 22201



CONFIRMATION NO. 4494

## FORMALITIES LETTER



\*OC000000007039282\*

Date Mailed: 11/07/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

01/09/2002 BHABTEW 00000026 09973189  
 740.00 OP  
 130.00 OP  
 01 FC:101  
 02 FC:105

202010-08TE2660

*A copy of this notice MUST be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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